

**Series 200 - Overview  
Program Assistance  
ADS CD 19  
07/19/2000**

Functional Series 200: Program Assistance (Replaces HBs 2-4 & 7, 8, 10, and eventually HB 9)

The first three chapters of ADS Functional Series 200, Program Assistance, consist of the operational guidance for the Agency's New Operations System, and as such is divided into three chapters: Strategic Planning (201), Achieving (202), and Monitoring and Evaluating Performance (203). The three chapters are based on the work of the Operations Business Area Analysis team (for further background, the reader is referred to the Operations BAA report, entitled Making a Difference for Development: Reengineering the US Agency for International Development's Program Operations, February, 1995).

This overview is divided into three sections:

- 1) The first section lists the names, phone numbers, and office symbols of those authors responsible for specific chapters.
- 2) The second section highlights new and updated material in the ADS chapters and/or references for ADS CD 19.
- 3) The third section restates information provided in previous (DR-CD 11 & ADS CDs 12 through 18) Overview files for Series 200.

---

**SECTION I**

**List of Series 200 ADS Authors**

<b>SERIES 200 - USAID PROGRAM ASSISTANCE</b>			
<b>No.</b>	<b>Chapter Title</b>	<b>Responsible Office</b>	<b>Point of Contact</b>
201	Managing for Results: Strategic Planning	PPC/PC 6.07-101 RRB Washington, DC 20523-6802	Larry Laird 202-712-4655
202	Managing for Results: Achieving	PPC/PC 6.07-101 RRB Washington, DC 20523-6802	Larry Laird 202-712-4655
203	Managing for Results: Monitoring and Evaluating Performance	PPC/PC 6.07-101 RRB Washington, DC 20523-6802	Larry Laird 202-712-4655

<b>SERIES 200 - USAID PROGRAM ASSISTANCE</b>			
<b>No.</b>	<b>Chapter Title</b>	<b>Responsible Office</b>	<b>Point of Contact</b>
204	Environmental Procedures	PPC/SPG 6.08-072 RRB Washington, DC 20523-6802	James Hester 202-712-5176
205 – RESERVED			
206	Prohibition of Assistance to Drug Traffickers	PPC/PC 6.07-101 RRB Washington, DC 20523-6802	Larry Laird 202-712-4655
207-209 – RESERVED			
210	Tobacco Policy	PPC/PDC 6.07-043 Washington, DC 20523-6802	Joyce Holfeld 202-712-4727
211-215 – RESERVED			
216	USAID-Higher Education Community Partnership	PPC/PDC 6.07-004 RRB Washington, DC 20523-6802	Don Sillers 202-712-5151
217-218 – RESERVED			
219	Microenterprise Development	PPC/PDC 6.07-004 RRB Washington, DC 20523-6802	Don Sillers 202-712-5151
220-249 – RESERVED			
250	Urban and Environment Credit Program (UE Credit Program)	G/ENV/UP 3.08-102 RRB Washington, DC 20523-3800	Cameron Webber 202-712-1972
251	International Disaster Assistance	BHR/OFDA/PS 6.07-064 RRB Washington, DC 20523	Kerrin Goodspeed 202-712-5104
252 – RESERVED			
253	Training for Development	G/HCD RRB 3.09-080 Washington, DC 20523-3901	John Jessup 202-712-0172 Linda Walker 202-712-0271
254-299 – RESERVED			

Active Handbooks that are applicable to Series 200:

<b>ACTIVE HANDBOOKS</b>			
<b>No.</b>	<b>Handbook Title</b>	<b>Responsible Office</b>	<b>Point of Contact</b>
HB 1	Policy	PPC/PC 6.08-119 RRB Washington, DC 20523-6802	Larry Laird 202-712-4655
HB 9	Food for Peace (HB 9 is no longer an active Handbook but is considered a Supplementary Reference.)	BHR/FFP 7.06-155 RRB Washington, DC 20523-7600	Jeanne Markunas 202-712-4891

## **Section II**

### **New and Revised Series 200 Chapters and References for ADS CD 19**

#### **ADS 253, Training for Development**

Chapter 253 was revised editorially and substantively. Sections 253.5.2, E253.5.2e, E253.5.2f, E253.5.3, 253.5.6c, and 253.5.6e were substantively modified.

The Chapter 253 Mandatory Reference, Detailed Guide for Training Results, has been revised editorially and substantively throughout.

## **Section III**

### **Restated Information from DR-CD 11 and ADS CD 12 through ADS CD 18**

#### **ADS CD 18**

##### **Chapter 201, Managing for Results: Strategic Planning**

For ADS CD 18 a new policy and essential procedure were added. Section 201.5.5e, Approval of Activities Not Covered by a Country Strategy, and its corresponding essential procedure are new for ADS CD 18. In addition, the Supplementary Reference, Guidance on Consultation and Avoidance of Unfair Competitive Advantage, was removed from the chapter. Please refer to Supplementary Reference Legal and Policy Considerations when Involving Partners and Customers on Strategic Objective Teams and Other Consultations instead.

##### **Chapter 202, Managing for Results: Achieving**

The FY 2000 USAID Statutory Checklist was updated to include the last item on the checklist, Engineering and Financial Plans (FAA Sec.611(a),(b) information. The Country Eligibility Checklist Index reference was removed from ADS CD 18. Additionally, the link to Supplementary Reference, Management Tools to Ensure Pre-Obligation Planning Requirements Are Met, that was inadvertently removed was added back into the 202.6 section.

##### **Chapter 206, Prohibition of Assistance to Drug Traffickers**

Substantive changes were made to sections 206.5.2, Overview of 487 Regulations, and 206.5.3, Covered Countries for ADS CD 18.

##### **Chapter 210, Tobacco Policy**

Chapter 210, Tobacco Policy, is a new chapter for ADS CD 18. The policy first distributed in a General Policy Notice on March 15, 1999 (Series 200 Interim Update #5) was converted into ADS chapter format. There are three Mandatory References for this

new ADS Chapter: 1) Curbing the Epidemic: Governments and the Economics of Tobacco Control, 2) Rationale for USAID Tobacco Policy, and 3) CDC Report, "Preventing Tobacco Use Among Young People, A Report of the Surgeon General (1984)."

---

## **ADS CD 17**

### **Chapter 201**

An editorial change was made to this section in the mandatory reference section due to the renumbering of interim updates for ADS CD 17. Series 300 interim updates #9 and #12 are now #5 and #8 respectively.

### **Chapter 203**

An editorial change was made to the 203.6 section. The e-mail address for ordering TIPS was corrected. The correct address is <docorder@dec.cdie.org>.

---

## **ADS CD 16**

### **ADS Chapters 201 and 202**

There is a new supplementary reference for both ADS 201 and 202 titled Legal and Policy Considerations when Involving Partners and Customers on Strategic Objective Teams and Other Consultations.

In addition, Chapter 202 has been revised to incorporate new policy and essential procedure on integrating gender considerations into program-funded activities.

### **ADS Chapter 206**

ADS Chapter 206 had one editorial change in 206.5.8. The words "and foreign government entities" were removed from paragraph c or 206.5.8.

### **ADS Chapter 253**

Chapter 253 has many editorial and substantive changes. Substantive changes were made in sections 253.4, 253.5.1, E253.5.2a, E253.5.2g, 253.5.4, E253.5.5b, 253.5.6 and E253.5.6a, 253.5.6b and E253.5.6b, and 253.5.6c and E253.5.6c. In addition, the Mandatory Reference Detailed Guide for Training Results, was revised.

---

## **ADS CD 15**

ADS 202: A new supplementary reference was created for this chapter. It is titled Pre-obligation Requirements.

ADS 206: This is a new ADS chapter titled Prohibition of Assistance to Drug Traffickers. There are four references for this chapter.

ADS 250: There were several editorial changes made to this chapter.

ADS 253: There were four editorial changes made to this chapter. Specifically:

1. E253.5.6e mistakenly read E253.5.5e in the last version of the chapter. The number was corrected.
2. 253.5.6 mistakenly read E253.5.6 in the last version of the chapter. The number was corrected.
3. Point 1 of E253.5.2a was updated to reflect a link to ADS 206. The Interim Update link is no longer valid.

#### E253.5.2a Participant Eligibility/Conditions, and Dependent Travel

The following conditions must be observed in determining participant eligibility for US training:

- 1) Individuals sponsored for USAID training must be citizens or legal residents of the host country, or legal residents there (but not US citizens), and they must meet the criteria ADS 206, "Prohibition of Assistance to Drug Traffickers," if from a COVERED COUNTRY;
4. ADS 206 was added to the 253.7 list of references.
5. The Mandatory Reference for this chapter, 25351m1, Detailed Guide for Training Results, was change so that it cites ADS 206 instead of the interim guidance on the subject.

---

## ADS CD 14

### REVISED Chapters

- 201 Managing for Results: Strategic Planning  
A Mandatory Reference was added to the .7 section of the chapter.
- 251 International Disaster Assistance  
Editorial changes were made throughout the chapter.

253 Training for Development Impact  
Changes to this chapter were made in following sections:

253.1 .253.4, 253.5.2, E253.5.2a, E253.5.2b, E253.5.2e, E253.5.2f, E253.5.5b, 253.5.6b, E253.5.6b and 253.7.

The Mandatory Reference, Detailed Guide for Training Results, was also updated to reflect the changes made within the chapter.

---

## ADS CD 13

201 Managing for Results: Strategic Planning

201.7 Mandatory Reference was updated to reflect the new Interim Update number for this chapter.

Series 300, Interim Update #9, Policy Notice Re-issuance, dated September 18, 1996

253 TRAINING FOR DEVELOPMENT IMPACT

- + The term disability was added to the .4 section of this chapter.
- + The policy section of Chapter 253 (.5) was modified to include a section on disabilities and to clarify existing material. The following policy sections and corresponding Essential Procedures have changed:
  - Section 253.5.2, PLANNING AND IMPLEMENTING TRAINING ACTIVITIES
  - E253.5.2b, Documentation
  - E253.5.2e, Tracking and Monitoring
  - E253.5.2f, Participating Training from non-USAID Presence Countries and from Countries Where the USAID Mission Is Not Able to Provide Processing Assistance
  - E253.5.5b, Allowances
  - 253.5.6b, EXTERNAL: HAC INSURANCE AND CERTIFICATION OF MEDICAL ELIGIBILITY
- + The Mandatory Reference, Detailed Guide for Training Results, was updated to include a new policy pertaining to disabilities.

---

## ADS CD 12

## 201 MANAGING FOR RESULTS: STRATEGIC PLANNING

This chapter was revised and new sections added at 201.5.16, E201.5.16a, E201.5.16b, E201.5.16c, E201.5.16d, and E201.5.16e.

## 250 URBAN AND ENVIRONMENTAL CREDIT PROGRAM (UE CREDIT PROGRAM)

This chapter was revised to reflect the new "UE Credit Program" title and formatted.

## 253 TRAINING FOR DEVELOPMENT IMPACT

Just a couple minor revisions were made to this chapter for ADS CD 12. Changes were made at 253.5.2b, E253.5.2e, E253.5.2f, E253.5.5b, and 253.5.6b. Analogous changes were made in the Mandatory Reference.

---

### **DR-CD 11**

ADS 201 - There were numerous editorial and format changes made to ADS 201. A .7 section (Mandatory Reference) was added to this chapter. The item of most note worthiness is E201.5.10. This section was formerly placed at the close of section 201.5.10g. The section was moved to immediately following 201.5.10 and the title altered to make it mirror the policy title ("contents" replaced with "components"). Sub-Essential Procedure headers were added throughout the 201.5.10 section. Finally, E201.5.10, the entire section was reformatted and criteria numbered.

ADS 250 - Urban and Environment Credit (Housing Guaranty) Program: Replaces HB 7 was revised and reformatted in its entirety for DR CD 11.

ADS 253 - Training for Development Impact: Just a couple minor revisions were made to this chapter for DR CD 11. 1) E253.5.4 the criteria and final paragraph were revised, and 2) 253.5.6c - "Ireland" was added to the English speaking schools list.

There were no changes to ADS chapter 202,203,204,216,219, nor 251.

200\_071900 (adscd19)

ADS Web File Name: 200\_080200.pdf